George P. Smith

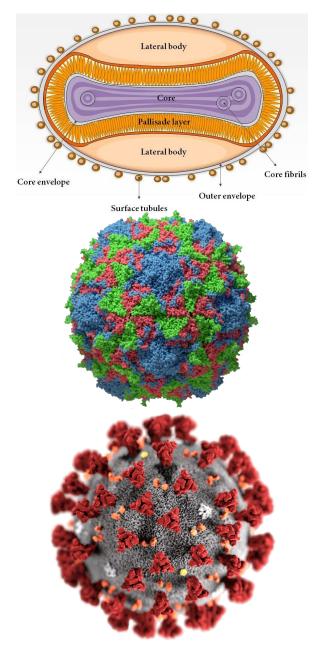
Professor emeritus of Biological Sciences University of Missouri, U.S.A.

Conference on COVID-19 October 30, 2020

Tunisian Academy of Sciences, Letters, and Arts, with the participation of the Palestine Academy for Science and Technology Translation



#### Pandemics that have been ended by vaccines



Smallpox ~200 years

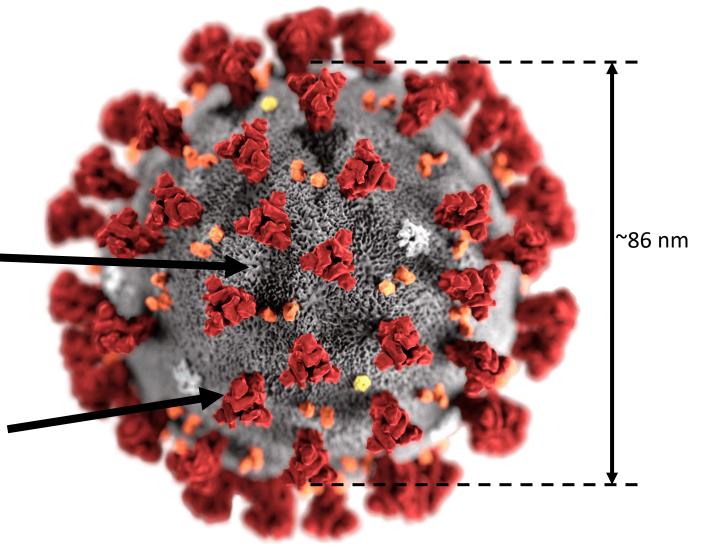
#### Polio ~50 years

SARS CoV-2 ~2 years???

### **SARS CoV-2 virion**

Surrounded by lipid bilayer membrane <u>envelope</u>

Spike protein protrudes from membrane, binds ACE 2 on cells to initiate infection; <u>target of most</u> <u>coronavirus vaccines</u>



#### As a <u>foreign protein</u> it induces virus-specific **adaptive immunity**:

- <u>neutralizing</u> <u>antibodies</u> that block infection
- <u>cellular immunity</u> that kills infected cells

Smiles antigan

Spike antigen

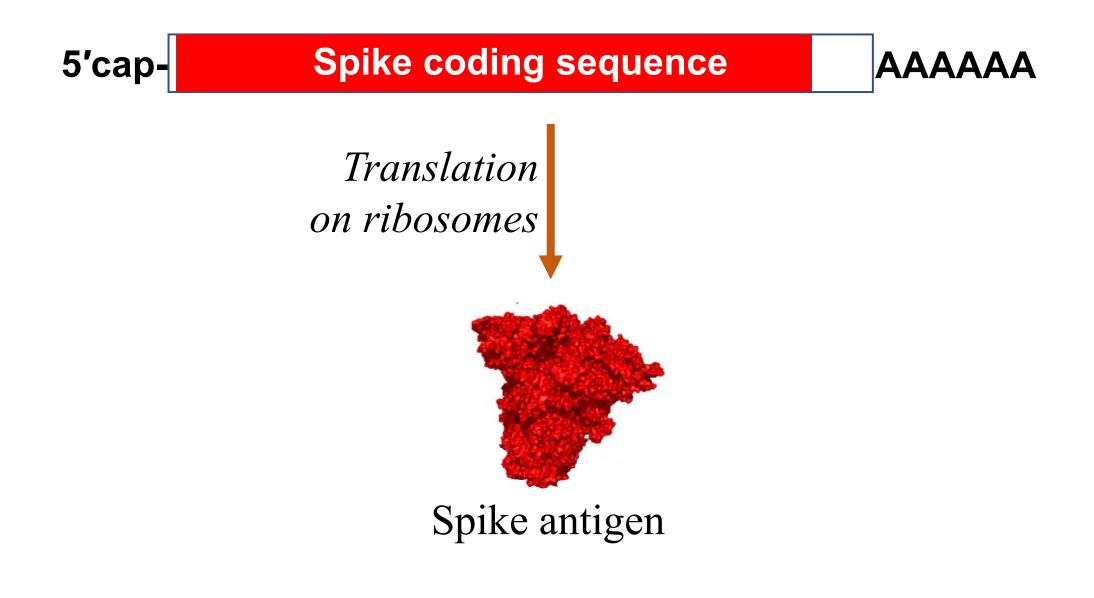
#### **Conventional vaccine**

As a <u>foreign protein</u> it induces virus-specific **adaptive immunity**:

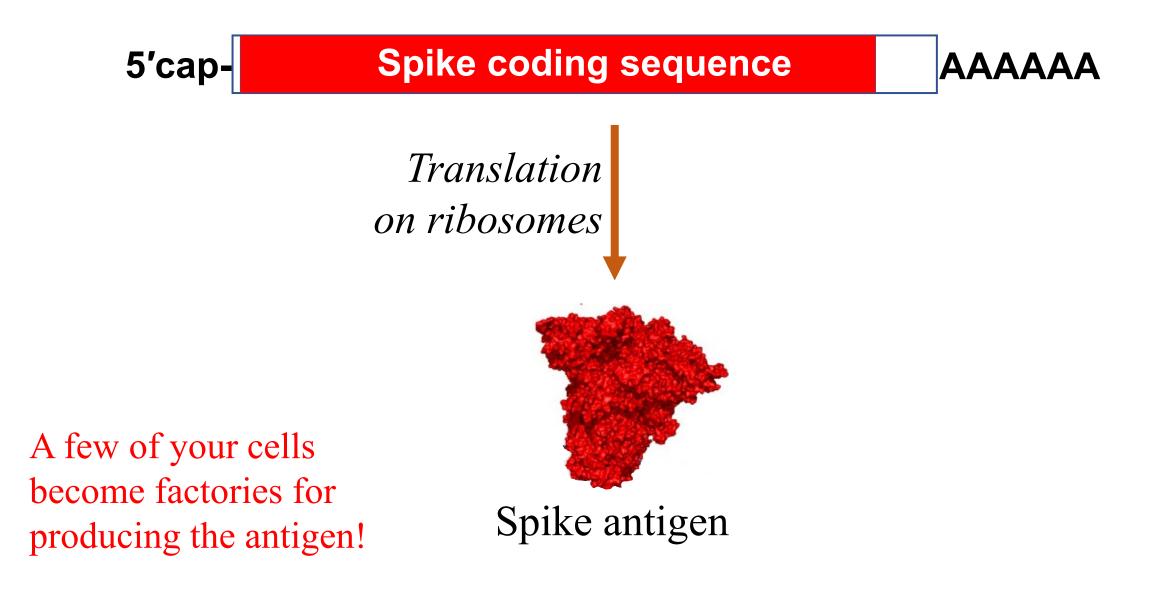
- <u>neutralizing</u>
   <u>antibodies</u> that block infection
- <u>cellular immunity</u> that kills infected cells

Spike antigen

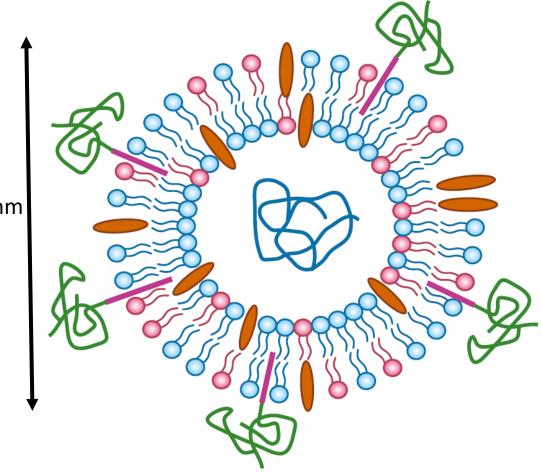
### Messenger RNA (mRNA) vaccine



### Messenger RNA (mRNA) vaccine

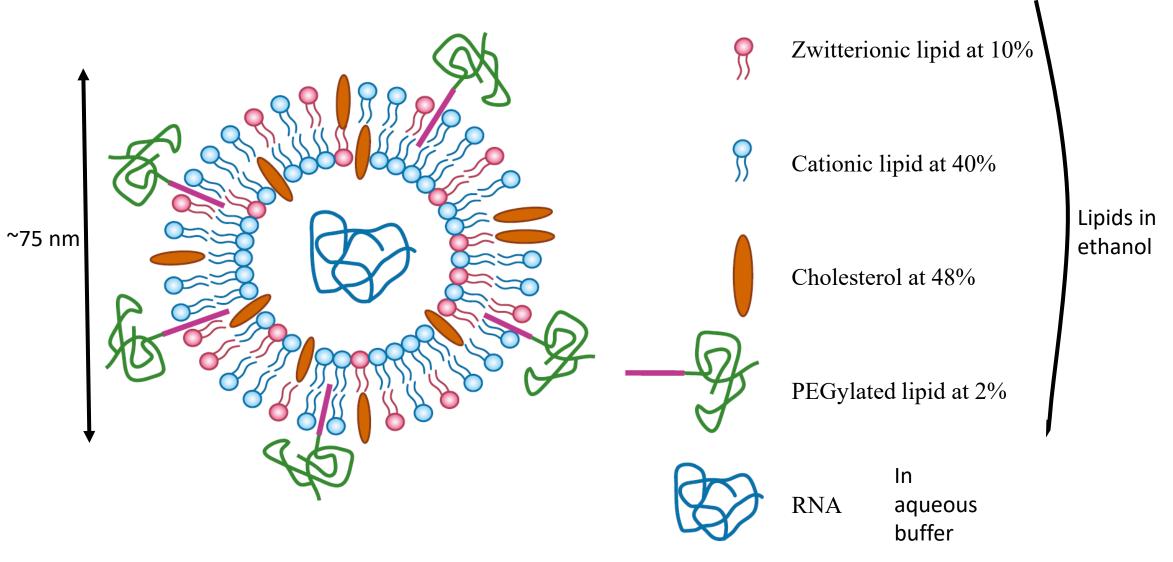


# Lipid nanoparticle (LNP)

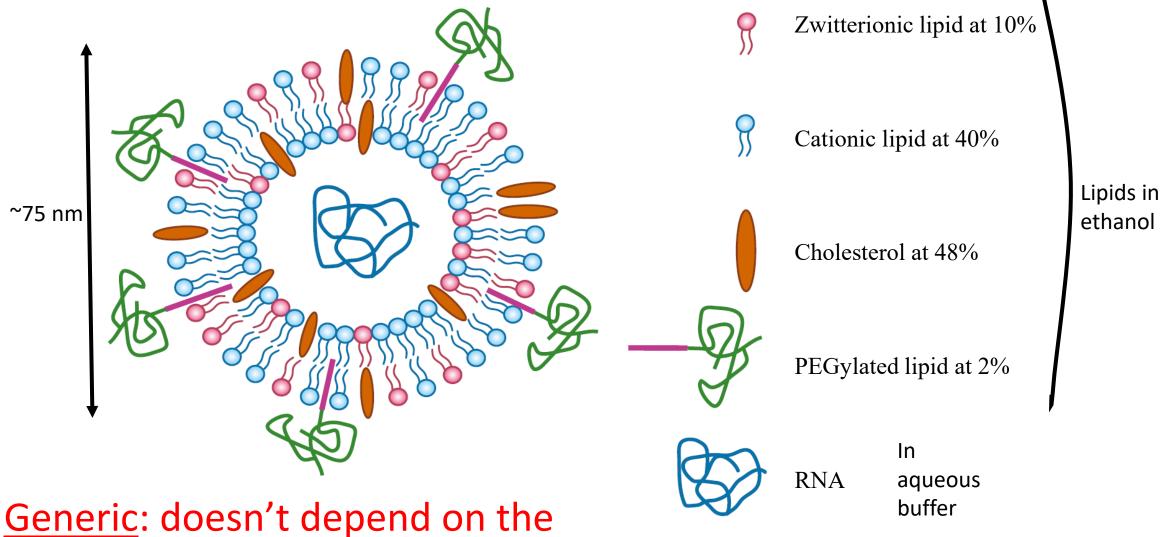


~75 nm

#### Lipid nanoparticle (LNP) manufacture

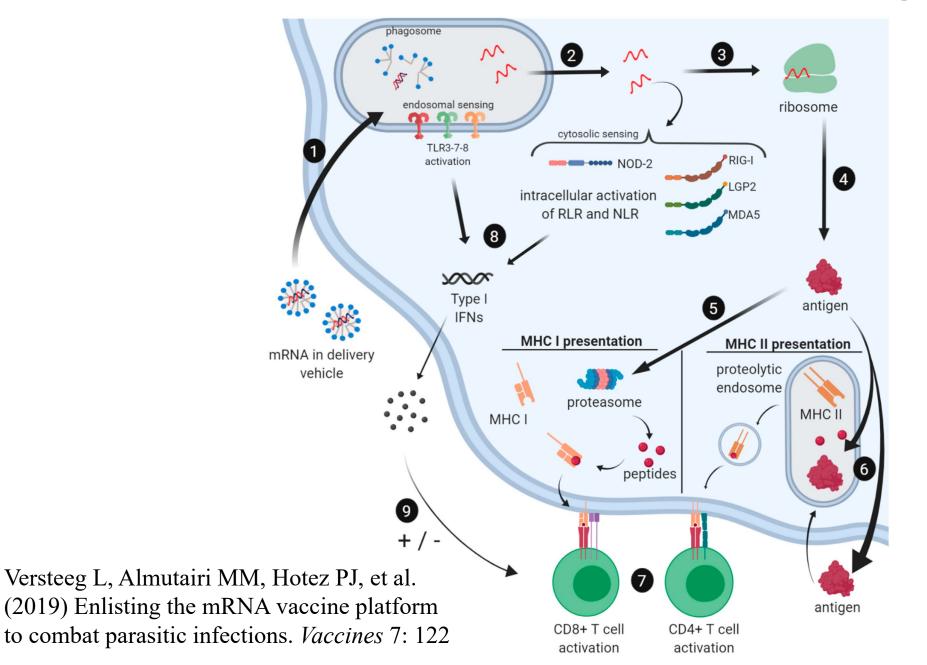


### Lipid nanoparticle (LNP) manufacture

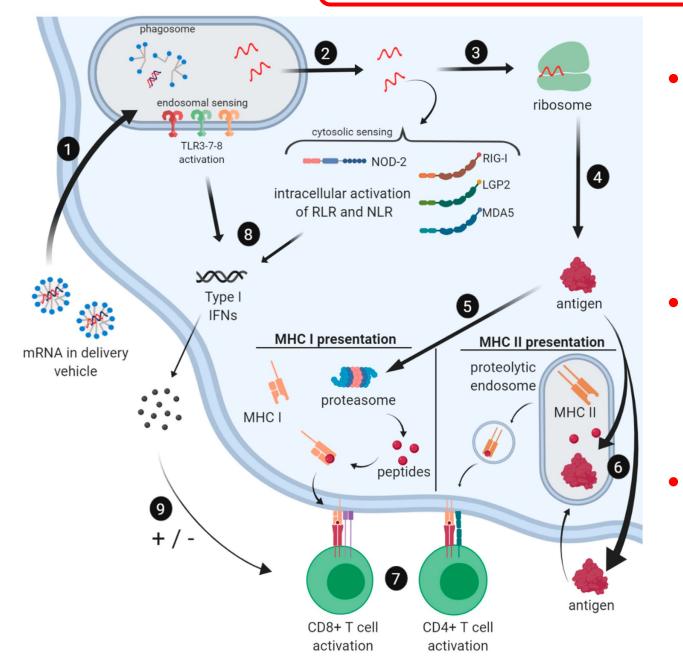


sequence of the RNA

#### Translation of the protein antigen

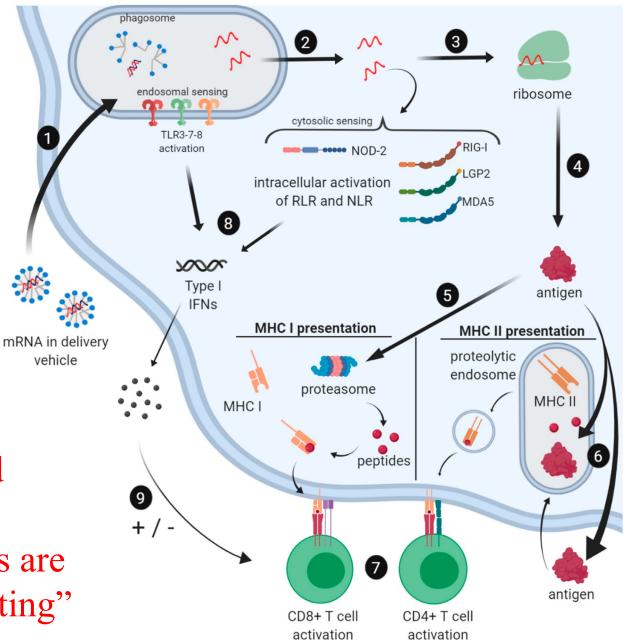


# Activation of the innate immune system



- Unlike adaptive immune system, not virus-specific, not induced by antigen
- Recognizes <u>generic</u> pathogenassociated molecular patterns
- Necessary for mobilizing the adaptive immune response

# Activation of the innate immune system



- Conventional vaccines need <u>adjuvants</u>
- RNA vaccines are "self-adjuvanting"

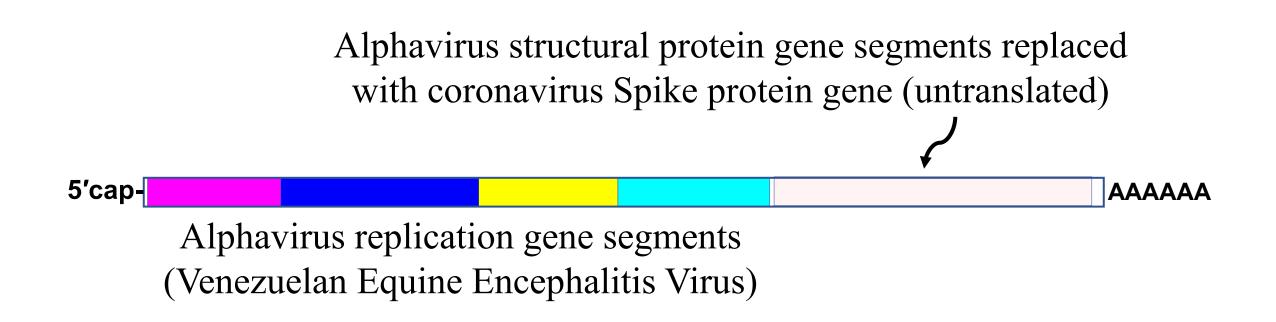
- Unlike adaptive immune system, not virus-specific, not induced by antigen
- Recognizes <u>generic</u> pathogenassociated molecular patterns
- Necessary for mobilizing the adaptive immune response

# Theoretical advantages of LNP-encapsulated RNA vaccines

- Simple <u>generic</u> good manufacturing practice (GMP) process from 5 highlypurified components: 4 lipids plus RNA synthesized *in vitro* 
  - No potentially toxic components or contaminants
  - Very short manufacturing time scale
  - Easy scale-up
  - Manufacturing infrastructure immediately usable for future pandemics
- No adaptive immune response to the vaccine itself—reusable for other target antigens
- Self-adjuvanting—no toxic adjuvants needed
- RNA never enters nucleus & is not reverse-transcribed into DNA—no possibility of oncogenic heritable alterations to chromosomes
- Generic, reusable "<u>platform</u>" immediately adaptable to new vaccine targets

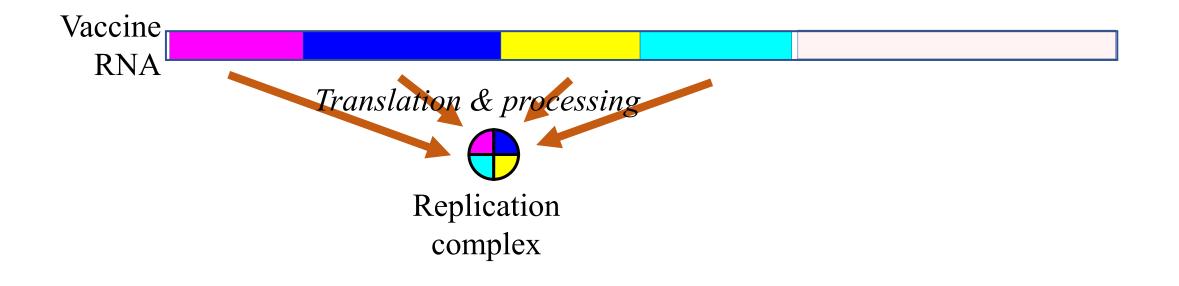
# Problem with current LNPencapsulated RNA vaccines

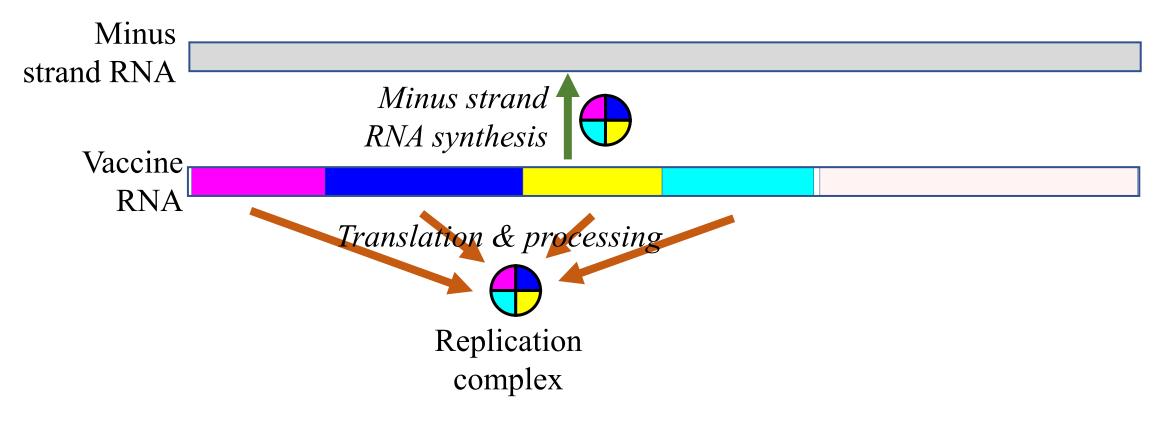
Need to be kept cold—a severe problem for vaccination in many under-resourced countries
But very likely can be freeze-dried and distributed at room temperature

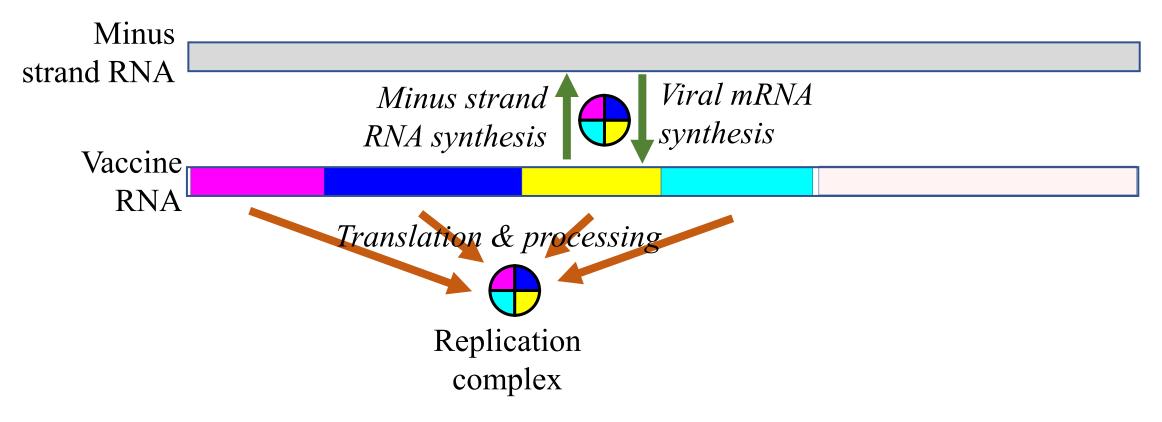


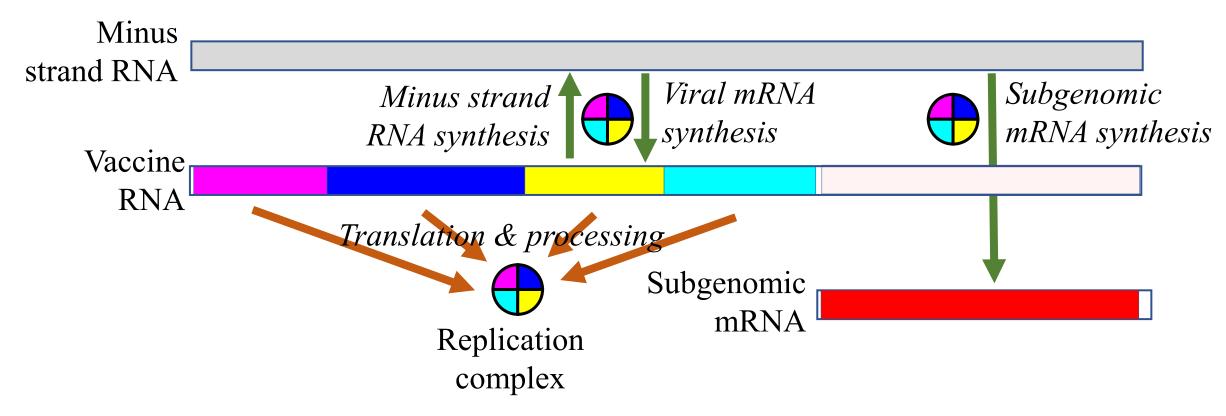
Self-amplifying RNA SARS-CoV-2 lipid nanoparticle vaccine candidate induces high neutralizing antibody titers in mice. Paul F. McKay, Kai Hu, Anna K. Blakney, Karnyart Samnuan, Jonathan C. Brown, Rebecca Penn, Jie Zhou, Clément R. Bouton, Paul Rogers, Krunal Polra, Paulo J. C. Lin, Christopher Barbosa, Ying K. Tam, Wendy S. Barclay and Robin J. Shattock, *Nature Communications* 11, 3523 (2020) (University College London)

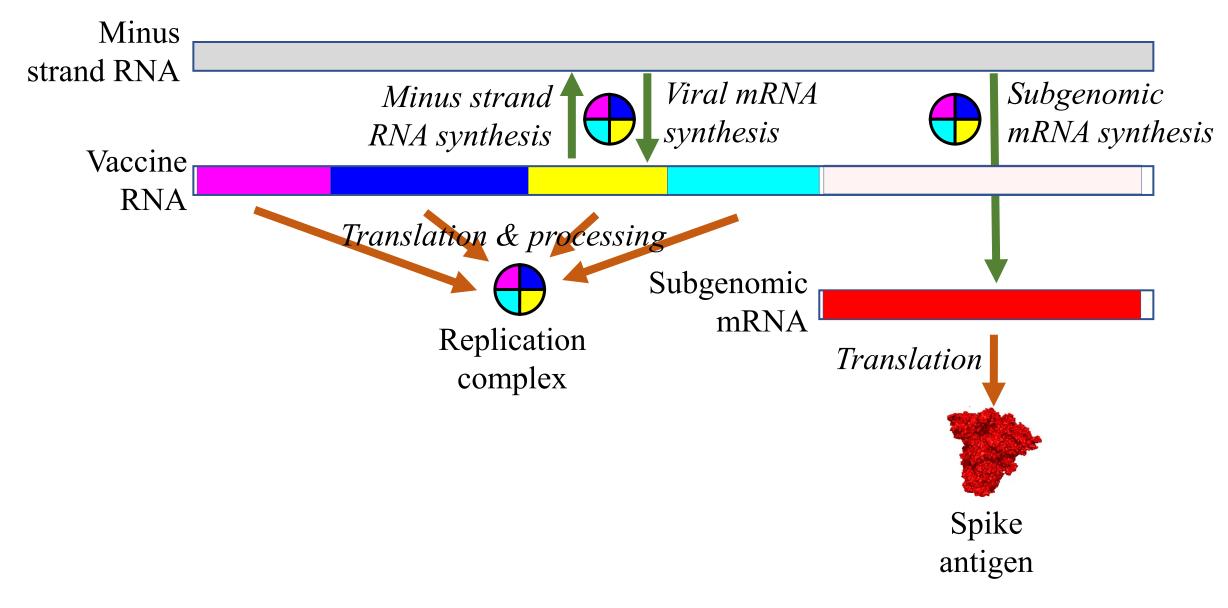


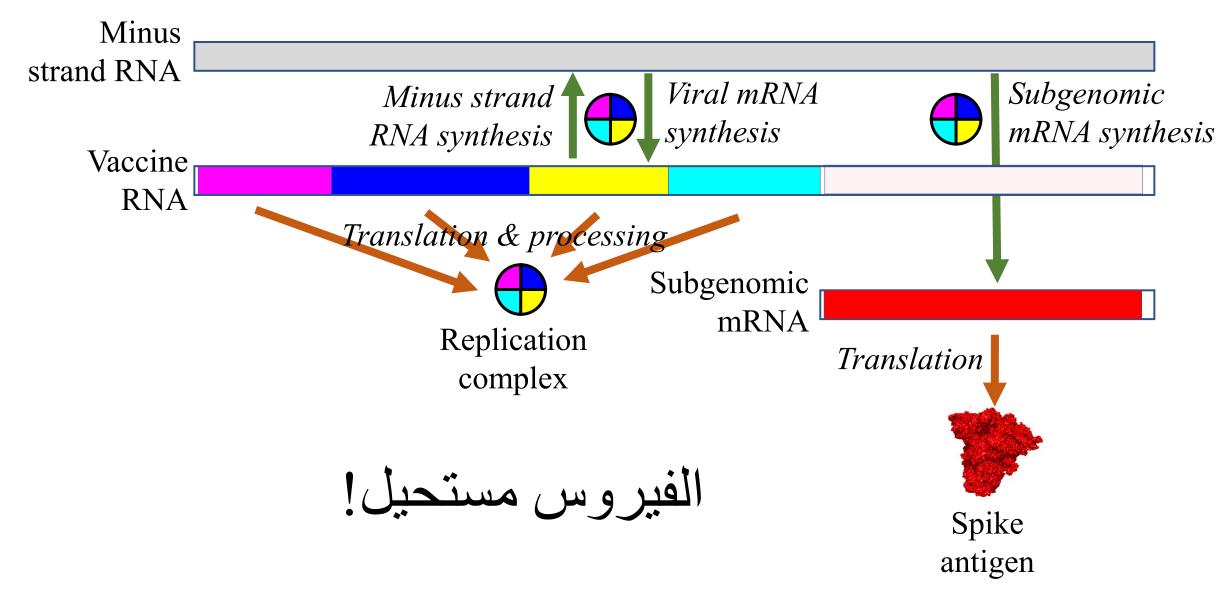


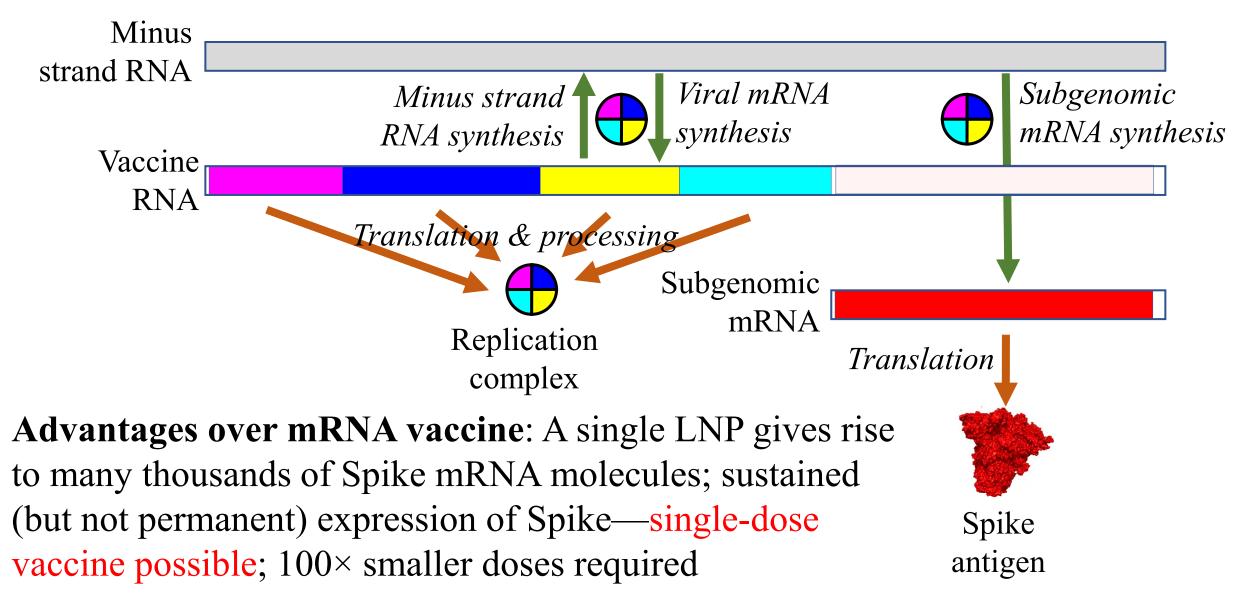












### Potential problems with self-amplifying RNA vaccines

- Need to develop way to distribute at room temperature—as for nonself-amplifying mRNA vaccines
- Unlike non-self-amplifying RNA vaccines, <u>potential for adaptive</u> <u>immune response against the vaccine itself</u>—may not be indefinitely reusable

### Two phases in genesis of a new vaccine (or other drug)

- Discovery & technological innovation
  - Exploratory science driven by <u>curiosity & professional ambition</u>
  - Overwhelmingly carried out in academic labs with <u>public funding</u>
  - Innovations (e.g., RNA vaccines) emerge unpredictably from global scientific communities, not individual researchers or research groups

#### • Development

- Carried out by corporations driven by <u>profit</u>
- Mostly final optimization and trials, not discovery & innovation
- Privately financed with promise of government-granted <u>patent</u> <u>monopolies</u>
  - Key patent rights mostly purchased from public institutions or associated start-ups
  - Governments permit researchers to pursue patent rights to discoveries & innovations arising from publicly-funded research

### **Progress in creation of RNA vaccine for SARS CoV-2**

- **Discovery & technological innovation**: (pretty much) finished by ~2000
- **Development**: (pretty much) not yet started when pandemic hit
  - <u>Generic</u> issues yet to be resolved
    - Can they be freeze-dried and distributed at room temperature?
    - Are there generic safety issues?
    - Do they induce vigorous adaptive immunity to the target antigen (antibodies and immune cells)
      - Duration of adaptive immunity?
    - (self-amplifying RNA vaccines only) Does adaptive immunity to vaccine itself limit reusability?
  - <u>SARS CoV-2-specific</u> issues yet to be resolved (in human trials)
    - Are there safety issues for this specific target antigen?
    - Is vaccine-induced adaptive immunity actually <u>protective</u>?

### Emergency vaccine development has been a



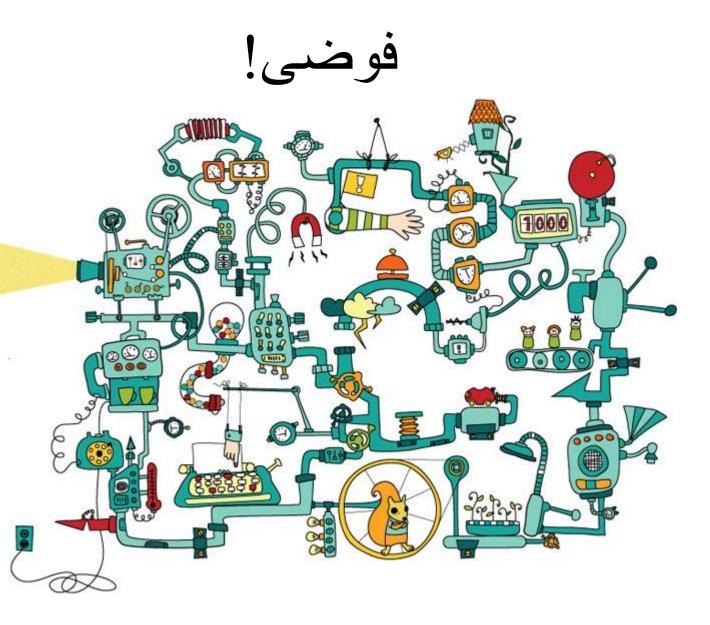
### Not this kind of

فوضى!



## Emergency vaccine development has been a

- RNA vaccine platforms were ready for development ~20 years ago!
- But no market incentive for <u>generic</u> <u>development</u> of these platforms in preparation for future pandemics
- U.S.'s hasty investment in Moderna's RNA vaccine
  - ~\$1 billion for development
  - Will buy 100 million doses at inflated price (\$15.25 versus ~\$4 production cost); option for 400 million additional doses
  - Commercial secrecy permitted!
  - Available to U.S. citizens only
- Only slightly less chaotic response in other capitalist countries



A number of economists argue that we can do better—for developing vaccines specifically and developing drugs in general

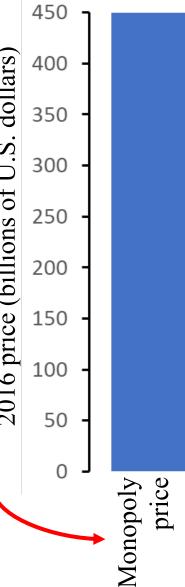
Tax-averse governments prefer to stimulate drug development by promise of governmentgranted patent monopolies rather than by direct government spending.

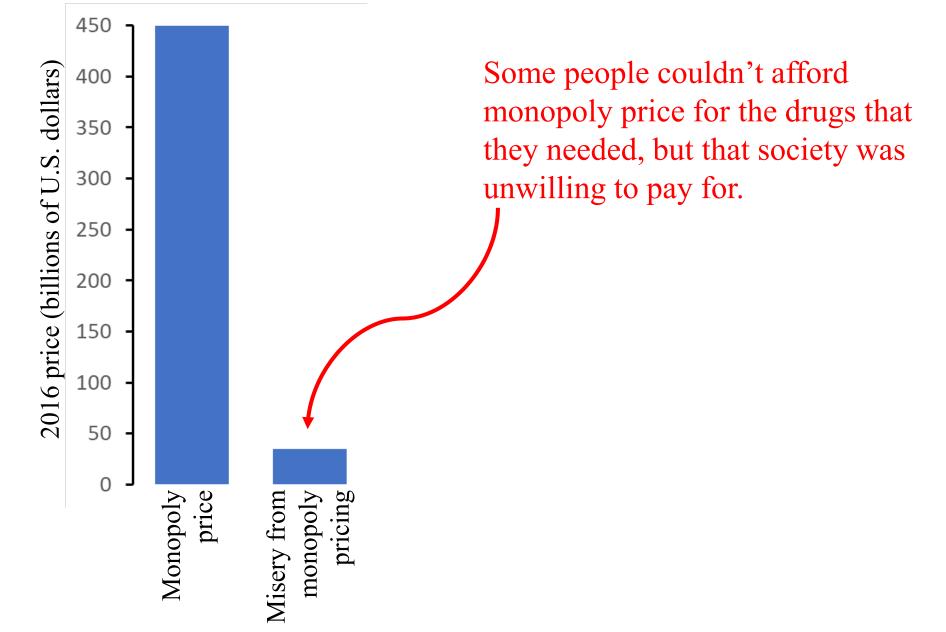
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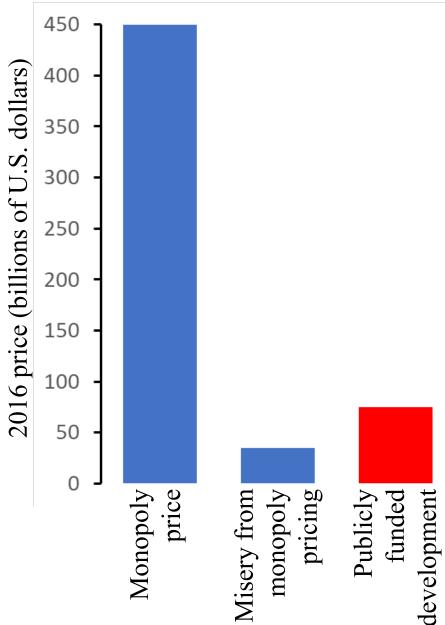


Street demonstrations against austerity measures imposed by International Monetary Fund, Tunis January 2018

Tax-averse governments dollars) prefer to stimulate drug 2016 price (billions of U.S. development by promise of governmentgranted patent monopolies rather than by direct government spending.







pricing

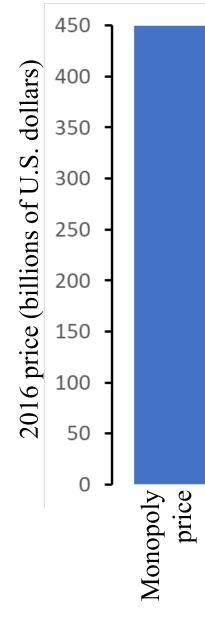
Inonopoly

Misery from

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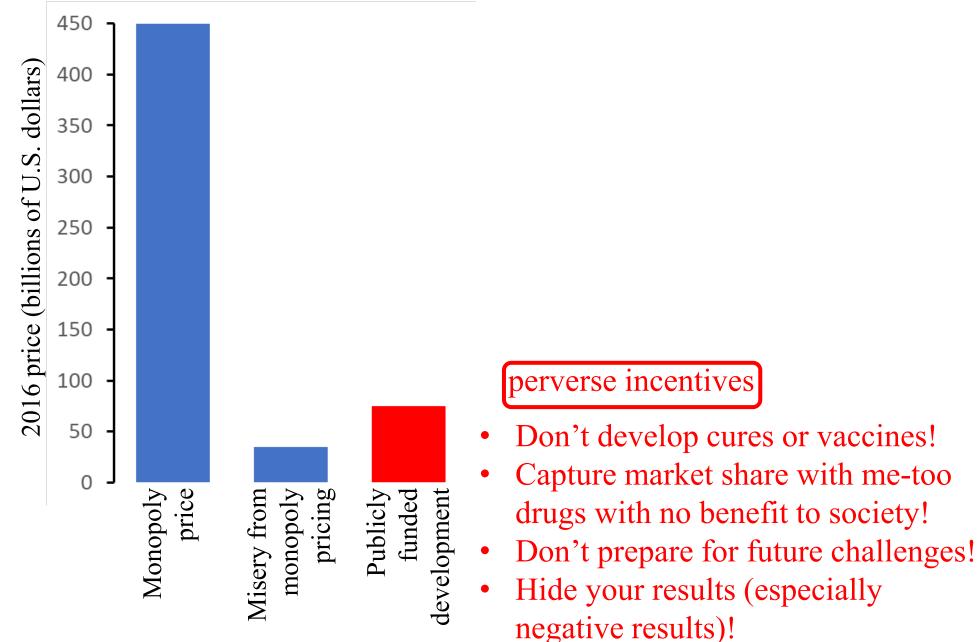
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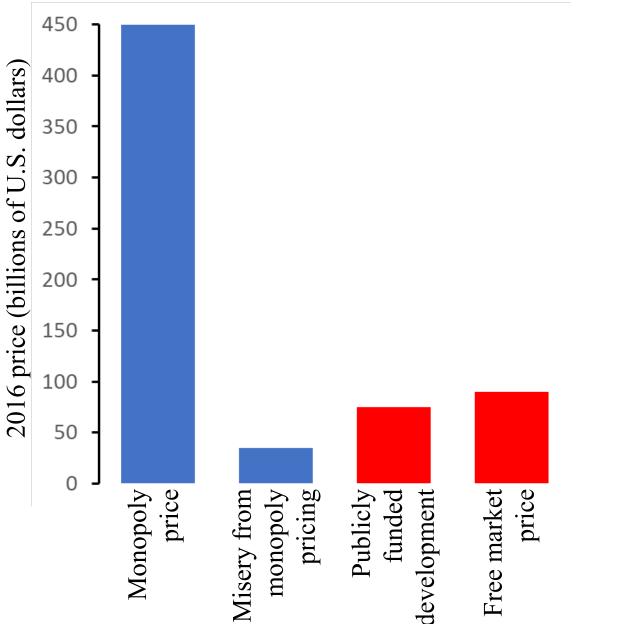
evelopment

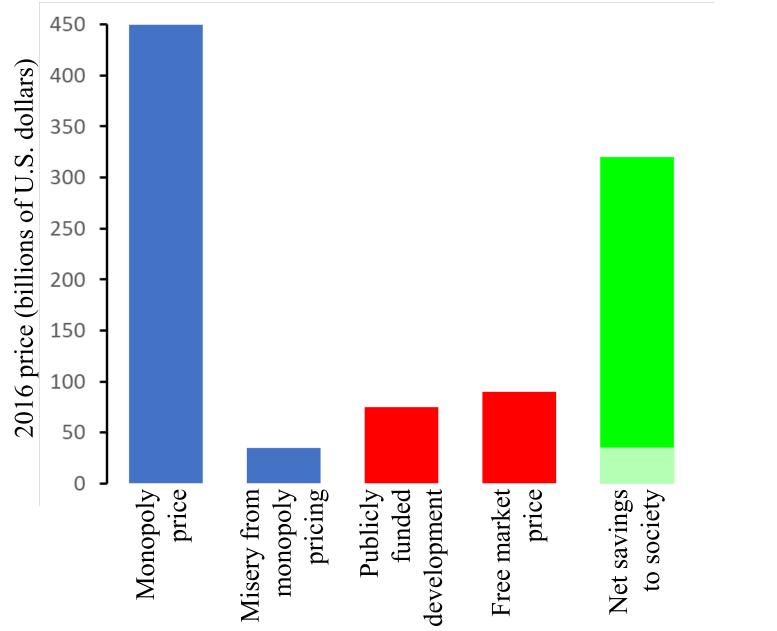


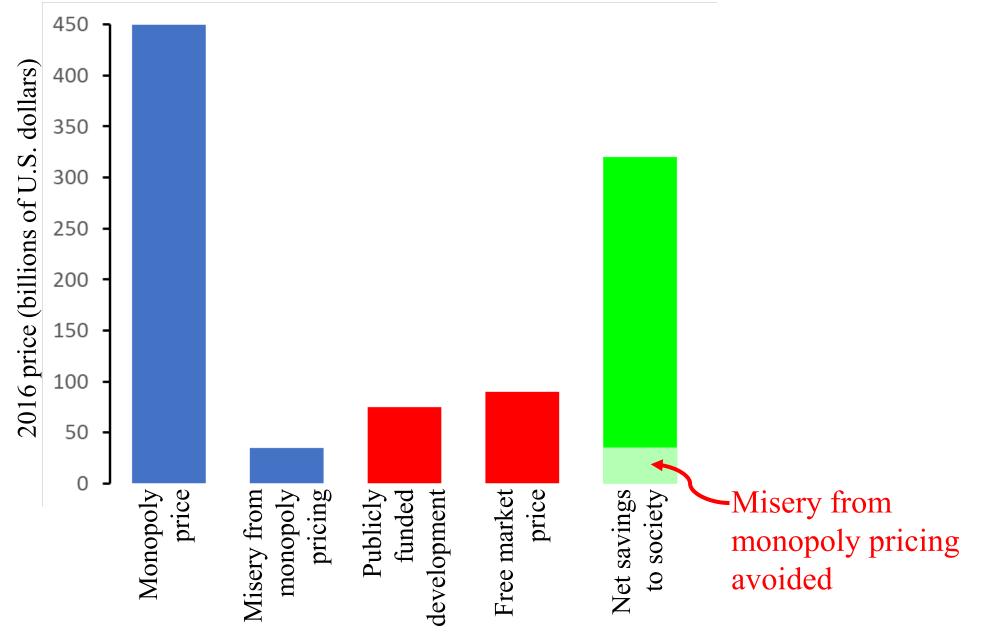
Conditions of public funding

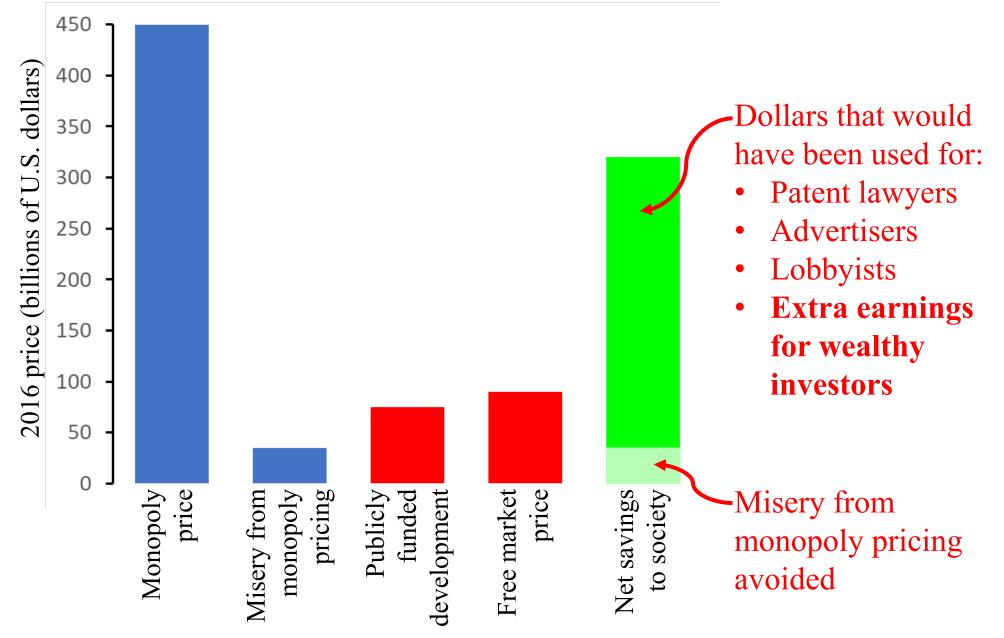
- 10-15 year contracts in broad areas
- <u>All</u> results, positive & negative, made public <u>immediately</u>
- No patents allowed on resulting drugs, which become <u>generic</u>
  - No patents allowed on results of publicly funded discoveries & innovations either
- Performance assessed based on usefulness to society—many perverse incentives avoided

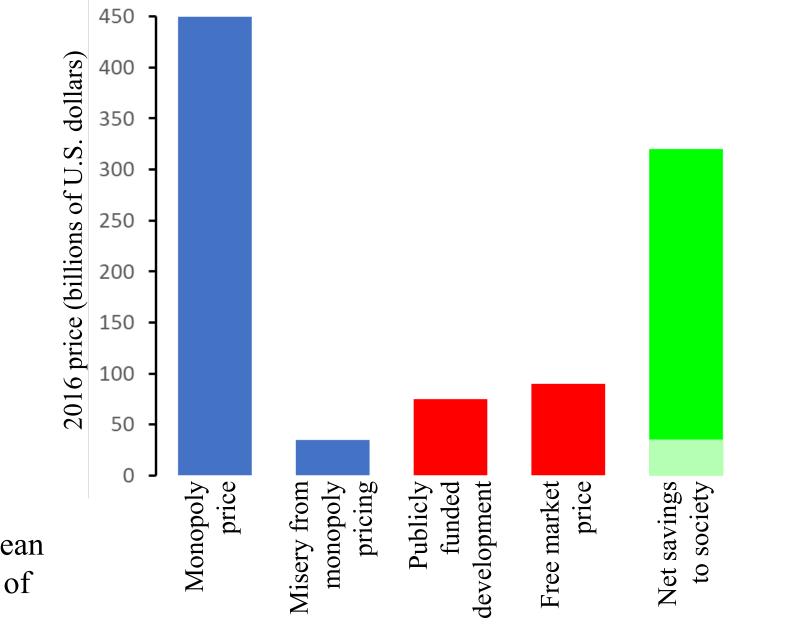












Modified from Dean Baker, Chapter 5 of *Rigged* (it's free)